

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Application Number 10/626,477 Filing Date TRANSMITTAL July 23, 2003 First Named Inventor **FORM** Martin KELLER Art Unit 1645 · (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number 21 564462008100 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication Fee Transmittal Form (1 page + Drawing(s) (2 sheets) to Technology Center (TC) duplicate) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Petition x Amendment/Reply (6 pages) (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) **Status Letter** Change of Correspondence Address Other Enclosure(s) (please x Extension of Time Request (1 page) Terminal Disclaimer Identify below): Statement to Support Filing and **Express Abandonment Request** Request for Refund Submission (2 pages) Sequence Listing (5 pages) Information Disclosure Statement CD, Number of CD(s) 1 Copy of Notice to Comply (2 pages) Return Receipt Postcard Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts Customer No. 45975 under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm MORRISON & FOERSTER LLP Gregory P. Einhorn - 38,440 Individual name Signature

| US, in an envelope addressed to: MS M | Maria Go                  |           |  |  |  |  |  |
|---------------------------------------|---------------------------|-----------|--|--|--|--|--|
| date shown below.                     |                           |           |  |  |  |  |  |
| Dated: September 24, 2004             | Signature: Mountane (Norm | an Green) |  |  |  |  |  |

Date

September 24, 2004

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

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|---|---|----------------------|-------------------|----------------|---|--|---------------------------------|--|--|
| FEE TRANSMITTAL   | Application Number 10/626,477                             |                      |                   |                |   |  |                                 |  |  |
| for FY 2004   |   |                      | Filing Date       |                |   |  | July 23, 2003                   |  |  |
| 101 F 1 2004  | - 1   | First Named Inventor |                   |                |   | Martin KELLER  |                                 |  |  |
| Effective 10/01/2003. Patent fees are subject to annual revision.   | Examiner Name   |                      |                   |                | Not Yet Assigned  |  |                                 |  |  |
| X Applicant claims small entity status. See 37 CFR 1.27   | Art Unit  |                      |                   |                | 1   | 1645   |                                 |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 55.00  | Attorney Docket No.                                       |                      |                   |                | ). ;  | 564462008100   |                                 |  |  |
| METHOD OF PAYMENT (check all that apply)  |   |                      |                   | FEE            | CALCUL  | ATION (co  | ntinued)                        |  |  |
| Check Credit Money Other None  X Deposit Account:  Deposit  |   | Entity               | Sma               | . FEES         |   |  |                                 |  |  |
| Account Number 03-1952  |   | Fee<br>(\$)          | Fee<br>Code       | Fee<br>(\$)    |   | Fee Desc   | ription                         | Fee Paid   |  |
| Deposit Account Morrison & Foerster LLP   |   | 130                  | 2051              | 65             | Surcharge -   | - late filing fe   | e or oath                       |  |  |
| Name The Director is authorized to: (check all that apply)  |   | 50                   | 2052              | 25             | Surcharge -<br>sheet.   | Surcharge – late provisional filing fee or cover heet.         |                                 |  |  |
| X Charge fee(s) indicated below X Credit any overpayments   |   | 130                  | 1053              | 130            | Non-English   | specificatio   | n                               |  |  |
| X Charge any additional fee(s) or any underpayment of fee(s)  |   | 2,520                | 1812              | 2,520          | For filing a re   | ng a request for ex parte reexamination                        |                                 |  |  |
| Charge fee(s) indicated below, except for the filing fee  |   | 920°                 | 1804              | 920°           |   | questing publication of SIR prior to                           |                                 |  |  |
| to the above-identified deposit account.  |   | 1,840*               | 1805              | 1,840°         | Requesting<br>Examiner a  | publication o  | of SIR after                    |  |  |
| FEE CALCULATION   | 1251  | 110                  | 2251              | 55             |   | or reply within  | n first month                   | 55.00  |  |
| 1. BASIC FILING FEE   | 1252  | 420                  | 2252              | 210            | Extension for   | or reply within  | second month                    |  |  |
| Large Entity Small Entity .   | 1253  | 950                  | 2253              | 475            | Extension fo  | or reply within  | third month                     |  |  |
| Fee Fee Fee Fee Fee Fee Description Fee Paid  | 1254  | 1,480                | 2254              | 740            | Extension fo  | or reply within  | fourth month                    | 1  |  |
| 1001 770 2001 385 Utility filing fee  | 1255  | 2,010                | 2255              | 1,005          | Extension fo  | or repty within  | n fifth month                   |  |  |
| 1002 340 2002 170 Design filing fee   | 1401  | 330                  | 2401              | 165            | Notice of Ap  | peal   |                                 |  |  |
| 1003 530 2003 265 Plant filing fee  | 1402  | 330                  | 2402              | 165            | Filing a brief  | f in support o   | f an appeal                     |  |  |
| 1004 770 2004 385 Reissue filing fee  | 1403  | 290                  | 2403              | 145            | Request for   | oral hearing   |                                 |  |  |
| 1005 160 2005 80 Provisional filing fee   |   | 1,510                | 1451              |                |   | •  | lic use proceeding              |  |  |
| SUBTOTAL (1) (\$) 0.00  |   | 110                  | 2452              | 55             |   | atition to revive – unavoidable                                |                                 |  |  |
|   | 1453  | 1,330                | 2453              | 665            |   | on to revive - unintentional                                   |                                 |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   | 1501  | 1,330                | 2501              | 665            | Utility issue   | ,  | ne)                             | <del>                                     </del> |  |
| Ctaims below Fee Paid   | 1502  | 480                  | 2502              | 240            | Design issu   |  |                                 |  |  |
| Total Claims 38 -38** = x = 0.00  | 1503  | 640                  | 2503              | 320            | Plant issue   |  |                                 | <u> </u>   |  |
| Claims 2 -6** = x = 0.00  | 1460  | 130                  | 1460              | 130            | Petitions to  |  |                                 |  |  |
| Multiple Dependent  | 1807  | 50                   | 1807              | 50             | _   |  | CFR 1.17(q)                     |  |  |
| Large Entity Small Entity   | 1806  | 180                  | 1806              | 180            |   |  | on Disclosure Stmt              |  |  |
| Fee Fee Fee Code (\$) Code (\$)   |   | 40                   | 8021              | 40             |   |  | ssignment per<br>of properties) |  |  |
| 1202 18 2202 9 Claims in excess of 20   | 1809  | 770                  | 2809              | 385            | Filing a sub  | Filing a submission after final rejection<br>(37 CFR 1.129(a)) |                                 |  |  |
| 1201   86   2201   43   Independent claims in excess of 3   1203   290   2203   145   Multiple dependent claim, if not paid | 1810  | 770                  | 2810              | 385            | For each additional invention to be                               |  |                                 |  |  |
| 1204 86 2204 43 ** Reissue independent claims   | 1801  | 770                  | 2801              | 385            | examined (37CFR 1.129(b)) Request for Continued Examination (RCE) |  |                                 |  |  |
| over original patent  | 1802  | 900                  | 1802              | 900            | Request for expedited examination                                 |  |                                 |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent   | •   | of a design          |                   |                |   | application  |                                 |  |  |
| SUBTOTAL (2) (\$) 0.00  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 55.00 |                      |                   |                |   |  |                                 |  |  |
| **or number previously paid, if greater, For Reissues, see above  |   | - 3010 1             |                   |                |   |  |                                 |  |  |
| SUBMITTED BY (Complete (if applicable))   |   |                      |                   |                |   |  |                                 |  |  |
| Name (Print/Type) Gregory P. Einhorn Registration No. (Attorney/Agent) 38,440   |   |                      | Telephone         | (858) 720-5133 |   |  |                                 |  |  |
| Signature Date September 24, 2004   |   |                      |                   |                |   | 2004   |                                 |  |  |
|   |   |                      |                   |                |   |  |                                 |  |  |





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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/626,477

05/07/2004

Martin Keller

09010-400001

20985 FISH & RICHARDSON, PC 12390 EL CAMINO REAL SAN DIEGO, CA 92130-2081



CONFIRMATION NO. 3798

FORMALITIES LETTER

\*OC000000013325021\*

Date Mailed: 07/23/2004

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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